

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/716,291
Filing Date	11/18/2003
First Named Inventor	Kevin J. Cann, et al.
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	2002U020.US

Total Number of Pages in This Submission

4

references

ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Preliminary Amendment / Response
 - ☐ After Final Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Form-1449
- ☒ Information Disclosure Statement
 - ☒ 1449 Form
 - ☒ Copies of Cited References
- ☐ Certified Copy of Priority Documents)
- ☐ Response to Missing Part/ Incomplete Application
- ☐ Response to Missing Parts

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition
- ☐ To Convert a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer Two (2)
- ☐ Notice to File Corrected Application Papers
- ☐ Substitute Specification

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Additional Enclosure(s) (please identify below):

Postcard

REMARKS

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

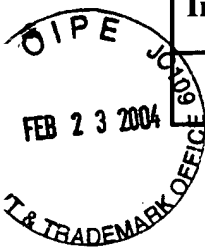
Firm Or Individual name	Osborne K. McKinney	Registration No.	40,084
Signature			
Date	2-20-04		

CERTIFICATE OF MAILING

I hereby certify having information and a reasonable basis for belief that this correspondence will be deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:

February 20, 2004

Typed or printed name	Tammy L. Hodges	Signature		Date	February 20, 2004
-----------------------	-----------------	-----------	--	------	-------------------



TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b), 1.97(c), or 1.97(d)			Attny. Docket No. 2002U020.US
In Re Application of: Kevin J. Cann et al.			
U.S. Serial No. 10/716,291	Filing Date November 18, 2003	Examiner Unassigned	Group Art Unit Unassigned

Address to:
Mail Stop Non-Fee Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR § 1.97(b)

- ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

37 CFR § 1.97(c)

- ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); after three months of the date of entry of the national stage as set forth in § 1.491 in an international application; after the mailing of a first Office Action on the merits; or after the mailing of a first Office Action after the filing of a request for continued examination under § 1.114, but before the mailing date of:

1. a Final Action under § 1.113,
2. a Notice of Allowance under § 1.311, or
3. an action that otherwise closes prosecution in the application,

and is accompanied by either:

- ☐ the fee as set forth in § 1.17(p), or
- ☐ the following statement under § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

37 CFR § 1.97(d)

- ☐ The Information Disclosure Statement submitted herewith is being filed after a Final Action under § 1.113, a Notice of Allowance under § 1.311, or an action that otherwise closes prosecution in the application, but before, or simultaneously with, the payment of the issue fee. Submitted herewith is the fee as set forth in § 1.17(p) and the following statement under 37 CFR § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Applicant hereby request consideration of the Information Disclosure Statement, USPTO form 1449, submitted herewith.

☒ Legible copies of the non-US references are enclosed.


☐ This application is a:
☐ Continuation / Divisional,
☐ Continuation-in-Part,

of U.S.S.N. _____. Copies of the cited references are
☐ enclosed; ☐ not enclosed. References are available in the parent application(s) if not enclosed.

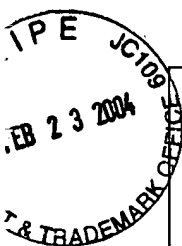
The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment, to Deposit Account Number 50-0589.

2-20-04

Date



Osborne K. McKinney
Registration No. 40,084
Univation Technologies, L.L.C.
5555 San Felipe, Suite 1950
Houston, Texas 77056-2723
(713) 892-3711 Voice
(713) 892-3687 Facsimile



INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

2002U020.US

SERIAL NO.

10/716,291

APPLICANT

Kevin J. Cann, et al.

FILING DATE

11/18/2003

GROUP

Unassigned

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,075,395	12/24/1991	Durand et al.	526	105	
	AB	2002/0042482	04/11/2002	Monoï et al.	526	106	
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AK	JP 2002020412	01/23/2002	Japan			X	
	AL	JP 2001294612	10/23/2001	Japan			X	
	AM	JP 2001198811	07/24/2001	Japan			X	
	AN	CN 1214344	4/21/1999	China			X	

OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)

	AO	
	AP	

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next comment to applicant